

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: KONRAD R. WEEBER, ET AL

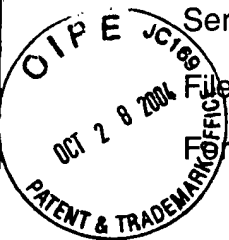
Group Art Unit: 2834

Serial No.: 09/870,567

Examiner: T. NGUYEN

Filed: June 1, 2001

SLIP RING BRUSH ASSEMBLY AND METHOD



INFORMATION DISCLOSURE STATEMENT

- ☐ 1 **Pursuant to 37CFR 1.97(b)**
[within 3 months of national, non-CPA filing or prior to 1st Office Action] *no charge*
- ☐ 2 **Certification Pursuant to 37 CFR 1.97(c)(1)**
[before Final Office Action, Allowance, or other action closing prosecution] *no charge*
- ☒ 3 **Fee Payment Pursuant to 37 CFR 1.97(c)(2)**
[before Final Office Action, Allowance, or other action closing prosecution] *\$180.00*
- ☐ 4 **Certification & Fee Payment Pursuant to 37 CFR 1.97(d)**
[On or before issue fee payment] *\$180.00*

ATTN: MAILSTOP PATENT APPLICATION
COMMISSIONER FOR PATENTS
ALEXANDRIA, VA 22313-1450

Sir:

The following are submitted in the above application in compliance with 37 CFR 1.97 and 37 CFR 1.98:

- ☒ 5 A list of documents on form PTO-1449 or Substitute together with copies of each identified document and a translation thereof or a concise explanation of each non-English language document or a Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date indicated below.	
10/26/04	Date of Deposit
K. R. Weber	Type of Print Name
	Signature

This paper is submitted in accordance with:

- ☐ 6 37 CFR 1.97(b): [within 3 months of national, non-CPA filing or prior to 1st Office Action]
- ☒ 7 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and
- ☐ 8 The required Certification made in item 11 below;
- ☒ 9 ^{or} The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 15 below.
- ☐ 10 37 CFR 1.97(d): [on or before issue fee payment]; and
- a) The required Certification is stated in item 11 below; and
- b) The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- ☐ 11 Certification
- ☐ 12 Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of this Statement; or
- ☐ 13 No item contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing date of this Statement.
- ☒ 14 Payment of all applicable fees:
- ☒ 15 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0868

An original and two (2) copies of this document are enclosed.

Respectfully submitted,

10/25/04

Date

General Electric Company
GEGR Patent Docket Rm. Bldg. K-1 Rm. 4A59
One Research Circle
Niskayuna, New York 12309
Customer Number: 006147



Attorney - ANN M. AGOSTI

Registration No. 37,372

Phone: (518) 387-7713

or (518) 387-7122

FORM PTO-1449
(REV. 7-80)US. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
RD-26,121/USA-5SERIAL NO.
09/870,567INFORMATION DISCLOSURE STATEMENT BY APPLICANT
LIST OF ITEMSApplicant
KONRAD R. WEEBER, ET ALFiling Date
6/1/01Group
2834

U.S. PATENT AND PUBLICATION DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A1 788049	4/1905	JOHNSON			
	A2 3060397	10/62	MIMS, ET AL			
	A3 3375479	11/95	LOWE			
	A4 3903442	9/75	KRULLS			
	A5 4644206	2/87	SMITH			
	A6 4895536	1/90	GINGERICH, ET AL			
	A7 5285125	2/94	LANG			
	A8 5490198	2/6/96	GERLING			
	A9 5549019	8/96	CATTANI			
	A10 5742515	4/21/98	RUNKLE, ET AL			
	A11 5814915	9/98	WANG			
	A12 5869942	2/99	MILLER, ET AL			
	A13 5976047	11/99	WOYTASZEK			
	A14 6012563	1/00	AOKI, ET AL			
	A15 6281610	8/01	KLIMAN, ET AL			
	A16 6356002	3/02	WITHERSPOON, ET AL			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	B1 1157885	7/69	GREAT BRITAIN			X
	B2 937244	12/55	GERMANY			X
	B3 1247461	8/67	GERMANY			X

OTHER INFORMATION (Including Author, Title, Date, Pertinent Pages, etc.)

C1	WESTINGHOUSE ELECTRIC & MANUFACTURING CO., CIRCULAR NO. 1028, APRIL 2003, 16 PAGES.
C2	A.M. DUDLEY, "CONNECTING INDUCTION MOTORS" The Practical Application of a Designing Engineer's Experience to the Problems of Operating Engineers, Armature Winders and Repair Men. Also the presentation to Students at Practical Questions Arising in Winding and Connecting Alternating Current Motors, 1921, PAGE 204.
C3	M. E. HAYES, "CURRENT-COLLECTING BRUSHES IN ELECTRICAL MACHINES", 1947, PP. 124-127.
C4	H. E. RICHARDS, "PRINCIPLES OF ALTERNATING-CURRENT MACHINERY, 1953, PP. 161.
C5	M. LIWSCHITZ-GARIK, ET AL, "ALTERNATING-CURRENT MACHINES", SECOND EDITION, APRIL 1961, PP 119 & 261.
C6	BROWN BOVERI REVIEW, ELECTRICAL MACHINES, "THE ROTARY TRANSFORMER", VOL. 54, NO. 9, SEPT. 1967, PP. 554-564.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered.
Include copy of this form with next communication to applicant